

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

JAN 04 2002

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

20005778 US

APPLICATION NO.

09/816,940

CONFIRMATION NO.

6950

APPLICANT

HERMANN, et al.

FILING DATE

March 23, 2001

GROUP

2152

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

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JAN 09 2002  
Technology Center 2100

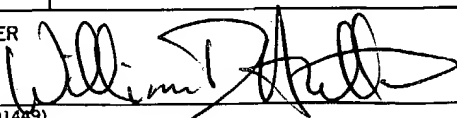
## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
WDH	1L	WO 00/72183	May 23, 2000	Cabletron Systems, Inc.			X	
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

WDH	1Q	Lewis, L.: "Spectrum Service Level Management Definition, Offerings and Strategy" Cabletron Technical Note, 30 March 1998  English						
WDH	1R	Kubo, K, et al.: "A Method of Extracting Management Information of Service Management" NTT Review, 1 March 1998  English						
WDH	1S	Lewis, L. et al.: "Incorporating Business Process Management into Network and Systems Management" PROCEEDINGS. ISADS. INTERNATIONAL SYMPOSIUM ON AUTONOMOUS DECENTRALIZED SYSTEMS, 4 April 1997 English						

EXAMINER



DATE CONSIDERED

17 June 2004

Rev 10/01 (PTO1449)

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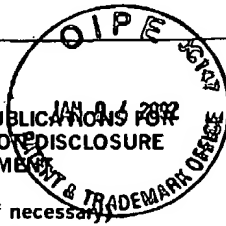
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Sheet 2 of 2

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FOREIGN PATENT DOCUMENTS

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	2L					YES	NO
	2M						
	2N						
	2O						
	2P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

WDH	2Q	European Search Report of European Patent Application No. 01 107 261.8 dated 21 September 2001.  English
	2R	
	2S	

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*William D. Hall*

DATE CONSIDERED

*17 June 2004*

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Sheet 1 of 1

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20005778US-1

SERIAL NO.

09/816,940

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A. HERMANN, et al.

FILING DATE

MARCH 23, 2001

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	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

WDH	1Q	VantagePoint for Windows, Concepts Guide, HP Openview, 2000, pages 1-79 English
	1R	
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*William J. Hill*

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17 June 2004